

ATTENTION ATTENTION ATTENTION

Method of Refund:

- ACH/EFT
- Credit Card
- Deposit Account # 50-3105
- Treasury Check

Patent/TM/App/Serial # 16 711,778

Program Area Publishing

Date Processed 7/7/2006

ATTENTION ATTENTION ATTENTION



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants: Irene Chen et al.

Examiner: MCPHERSON, JOHN A Art Unit: 1756

Patent No.: US 6,994,951 B1

Issue Date: 02/07/2006

Appl. No.: 10/711,778

Filing Date: 10/04/2004

Docket No.: UTEP0013USA

10

Title: METHOD OF FABRICATING A STAMPER BY HALF-TONE
TECHNOLOGY

To: Commissioner for Patents

15 P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Status Inquiry

20 Dear Sir,

The applicant submitted a "Request for refund of publication fee" of the above identified application on 03/13/2006 (Attachment 1). And the return postcard with a timestamp of 03/14/2006 was received (Attachment 2). But the refund has not been received so far.

25 Please refund the publication fee \$300.00 to the deposit account no. 50-3105 as soon as possible. Your quick response 30 in refunding the charge will be greatly appreciated.

Respectfully submitted,

Winston Hsu

Date: JUN 26 2006

Winston Hsu, Patent Agent No. 41,526

5 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

- 10 Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



Attachment 1

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/711,778
Filing Date	10/04/2004
First Named Inventor	Irene Chen
Art Unit	1756
Examiner Name	MCPHERSON, JOHN A
Total Number of Pages in This Submission	6
Attorney Docket Number	UTEP0013USA

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Reply to Missing Parts/Incomplete Application
- Reply to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation
- Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____
- Landscape Table on CD

Remarks

Request for refund of publication fee

- After Allowance Communication to TC
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
 - 1. A copy of issue fee transmittal submitted on 9/20/2005
 - 2. A copy of deposit account statement on 9/21/2005
 - 3. A copy of application data of app. No. 10/711,778

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	MAR 13 2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Stephanie Lai

Typed or printed name

Stephanie Lai

Date

MAR 13 2006

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Irene Chen, Tien-Yu Chou, Jyh-Huei
Lay, Yuan-Hung Wang, Jo-Wen Wu, Chin-Chen
Yang, Chuan-Lun Hsu

Appl. No. : 10/711,778 Filing Date: 10/04/2004

Examiner : MCPHERSON, JOHN A Art Unit : 1756

Docket No.: UTEP0013USA

Title: METHOD OF FABRICATING A STAMPER BY HALF-TONE
TECHNOLOGY

To : Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 09/20/2005 (Attachment 1). And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 09/21/2005 (Attachment 2). But the above-identified application was issued as a patent on 02/07/2006 without publication process (Attachment 3).

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

Sincerely yours,

Winston Hsu

Date: MAR 13 2006

5 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

10 Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 10 PM in Taiwan.)

15



Attachment 1

PART B - FEE(S) TRANSMITTAL

Please complete and sign this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 746-4000

or Fax

NOTICE: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where indicated. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Patent notifications.

ENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

027765 7590 08/29/2005
AIPC) NORTH AMERICA INTERNATIONAL PATENT
FICE
BOX 506
RRJFIELD, VA 22116

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Sammi Chang	(Depositor's name)
<i>Sammi Chang</i>	(Signature)
September 20, 2005	(Date)

INVENTION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
778	10/04/2004	Irene Chen	UTEP0013USA	5777

INVENTION: METHOD OF FABRICATING A STAMPER BY HALF-TONE TECHNOLOGY

LN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
provisional	NO	\$1400	\$300	\$1700	11/29/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
MCPHERSON, JOHN A	1756	430-320000			

Correspondence address or indication of "Fee Address" (37

or Change of Correspondence address (or Change of Correspondence

form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form

7; Rev 03-02 or more recent) attached. Use of a Customer

is required.

2. For printing on the patent front page, list
 - (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 - (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Winston Hsu

2.

3.

NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

OF ASSIGNEE

Media Corp.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

No. 222, Hwa-Ya II Rd., Kuei-Shan Hsiang, Tao-Yuan Hsien, Taiwan,
R.O.C.

appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government
ing fee(s) are enclosed:

4b. Payment of Fee(s):

A check in the amount of the fee(s) is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-3105 (enclose an extra copy of this form).

ity Status (from status indicated above)
nt claims SMALL ENTITY status. See 37 CFR 1.27.

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

re USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.
Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in
by the records of the United States Patent and Trademark Office.

ature _____
d name _____ Winston Hsu

Date 09/20/2005

Registration No. 41,526

Information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) confidentiality, is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and completing application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Washington, D.C. 20591-1450.

Reduction Act of 1995, no persons are required to respond to a collection of information unless it is a

Deposit Account Statement

Attachment 2

第 7 頁，共 11 頁

09/16 86	11162605	NAUP0712USA	1011	\$300.00	\$41,067.00
09/16 87	11162605	NAUP0712USA	8021	\$40.00	\$41,027.00
09/16 88	11162605	NAUP0712USA	1311	\$200.00	\$40,827.00
09/16 89	11162605	NAUP0712USA	1111	\$500.00	\$40,327.00
09/16 97	11162608	VIAP0170USA	1011	\$300.00	\$40,027.00
09/16 98	11162608	VIAP0170USA	8021	\$40.00	\$39,987.00
09/16 99	11162608	VIAP0170USA	1311	\$200.00	\$39,787.00
09/16 100	11162608	VIAP0170USA	1111	\$500.00	\$39,287.00
09/16 101	11162609	FTLP0001USA	1011	\$300.00	\$38,987.00
09/16 102	11162609	FTLP0001USA	8021	\$40.00	\$38,947.00
09/16 103	11162609	FTLP0001USA	1311	\$200.00	\$38,747.00
09/16 104	11162609	FTLP0001USA	1111	\$500.00	\$38,247.00
09/16 105	11162610	QSIP0005USA	4011	\$75.00	\$38,172.00
09/16 106	11162610	QSIP0005USA	8021	\$40.00	\$38,132.00
09/16 107	11162610	QSIP0005USA	2311	\$100.00	\$38,032.00
09/16 108	11162610	QSIP0005USA	2111	\$250.00	\$37,782.00
09/16 109	11162611	FTLP0002USA	1011	\$300.00	\$37,482.00
09/16 110	11162611	FTLP0002USA	8021	\$40.00	\$37,442.00
09/16 111	11162611	FTLP0002USA	1311	\$200.00	\$37,242.00
09/16 112	11162611	FTLP0002USA	1111	\$500.00	\$36,742.00
09/19 39	10604717	ADTP0051USA	1801	\$790.00	\$35,952.00
09/20 58	11160773	KYCP0037USA	1051	\$130.00	\$35,822.00
09/20 59	11160773	KYCP0037USA	1463	\$200.00	\$35,622.00
09/20 73	11162687	HHIP0004USA	1011	\$300.00	\$35,322.00
09/20 74	11162687	HHIP0004USA	8021	\$40.00	\$35,282.00
09/20 75	11162687	HHIP0004USA	1311	\$200.00	\$35,082.00
09/20 76	11162687	HHIP0004USA	1111	\$500.00	\$34,582.00
09/20 77	11162688	QSIP0004USA	4011	\$75.00	\$34,507.00
09/20 78	11162688	QSIP0004USA	8021	\$40.00	\$34,467.00
09/20 79	11162688	QSIP0004USA	2311	\$100.00	\$34,367.00
09/20 80	11162688	QSIP0004USA	2111	\$250.00	\$34,117.00
09/20 81	6386082	PMXP0109USA	1551	\$900.00	\$33,217.00
09/20 84	5760727	PMXP0010USA	1552	\$2,300.00	\$30,917.00
09/20 85	6411004	PMXP112USA	1551	\$900.00	\$30,017.00
09/20 88	6400058	PMXP0110USA	1551	\$900.00	\$29,117.00
09/20 89	6396486	GTCP0003USA	2551	\$450.00	\$28,667.00
09/20 90	6388778	PMXP0070USA	1551	\$900.00	\$27,767.00
09/20 115	11160773	KYCP0037USA	8021	\$40.00	\$27,727.00
09/20 116	60596369	NAUP0661USA9	1005	\$200.00	\$27,527.00
09/20 117	60596369	NAUP0661USA9	8021	\$40.00	\$27,487.00
09/21 33	10708553	OTMP0072USA	1806	\$180.00	\$27,307.00
09/21 103	60596401	ASTP0104USA	1005	\$200.00	\$27,107.00
09/21 104	60596401	ASTP0104USA	8021	\$40.00	\$27,067.00
09/21 105	60596402	ASTP0105USA	1005	\$200.00	\$26,867.00
09/21 106	60596402	ASTP0105USA	8021	\$40.00	\$26,827.00
09/21 114	60593378	NAUP0682USA	8007	\$20.00	\$26,807.00
09/21 184	10711778	UTEP0013USA	1501	\$1,400.00	\$25,407.00
09/21 185	10711778	UTEP0013USA	1504	\$300.00	\$25,107.00
09/21 186	10605457	AOIP0007USA	1501	\$1,400.00	\$23,707.00
09/21 187	10605457	AOIP0007USA	1504	\$300.00	\$23,407.00
09/21 190	10708942	CMDP0010USA	1501	\$1,400.00	\$22,007.00

Attachment 3

第 1 頁，共 1 頁

Printer Friendly

10/711,778 METHOD OF FABRICATING A STAMPER BY HALF-TONE TECHNOLOGY

Application Data

Application Number:	10/711,778	Customer Number:	-
Filing or 371 (c) Date:	10-04-2004	Status:	Patented Case
Application Type:	Utility	Status Date:	01-18-2006
Examiner Name:	MCPHERSON, JOHN A	Location:	ELECTRONIC
Group Art Unit:	1756	Location Date:	-
Confirmation Number:	5777	Earliest Publication No:	-
Attorney Docket Number:	UTEP0013USA	Earliest Publication Date:	-
Class / Subclass:	430/320	Patent Number:	6,994,951
First Named Inventor:	Irene Chen , Tao-Yuan Hsien, (TW)	Issue Date of Patent:	02-07-2006
Title of Invention:	METHOD OF FABRICATING A STAMPER BY HALF-TONE TECHNOLOGY		

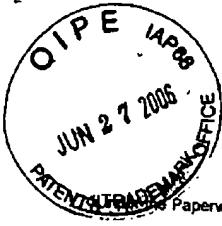
[Close Window](#)

Attachment >

Request for a Refund of Publication fee

	Application No.	Enclosed Item(s)	Total Pages
1	10/711,778 (UTE-P0013-USA)	1. Transmittal 2. Statement 3. A copy of issue fee transmittal 4. A copy of deposit account statement 5. A copy of application data	6 pages
2	10/907,007 (NAU-P0409-USA)	1. Transmittal 2. Statement 3. A copy of issue fee transmittal 4. A copy of deposit account statement 5. A copy of application data	6 pages





DEP & Ref

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number Filing Date First Named Inventor Art Unit Examiner Name	10/711,778
	10/04/2004
	Irene Chen
	1756
	MCPHERSON, JOHN A
Total Number of Pages in This Submission	10
Attorney Docket Number	UTEP0013USA

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the "Request for refund of publication fee" submitted on 03/13/2006
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. A copy of the return postcard with a timestamp of 03/14/2006
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> Landscape Table on CD
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	JUN 26 2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Stephanie Lai</i>		
Typed or printed name	Stephanie Lai	Date	JUN 26 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.